

Combinatorial Identities: Binomial Coefficients, Pascal's Triangle, and Stars & Bars

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Outline:

- Background
 - Factorials
 - Binomial Coefficients
- Pascal's Triangle
 - Several Combinatorial Identities
 - Block Walking Interpretation of the Entries
 - Properties of the Diagonals
- Compositions
 - Famous Combinatorial Identity

Background

Factorials: Definition & Examples

For any positive integer n :

$$n! = n \cdot (n - 1) \cdot (n - 2) \cdots 2 \cdot 1$$

Examples:

$$1! = 1$$

$$2! = 2 \cdot 1$$

$$3! = 3 \cdot 2 \cdot 1$$

$$\vdots \quad \vdots$$

By convention: $0! = 1$

Binomial Coefficients: Definition & Examples

For integers n and k with $0 \leq k \leq n$:

$$\binom{n}{k} = \frac{n!}{k!(n-k)!}$$

Examples:

$$\binom{4}{2} = \frac{4!}{2!2!} = \frac{24}{2 \cdot 2} = 6$$

$$\binom{3}{0} = \frac{3!}{0!3!} = \frac{6}{1 \cdot 6} = 1$$

Binomial Coefficients: Interpretation

$\binom{n}{k}$ = the # of ways to choose a subset with k elements from a set with n elements

Equivalently:

$\binom{n}{k}$ = the # of k -element subsets that can be formed from a set with n elements

Binomial Coefficients: An Example

$$S = \left\{ \text{blue square}, \text{green circle}, \text{red heart}, \text{yellow star} \right\}$$

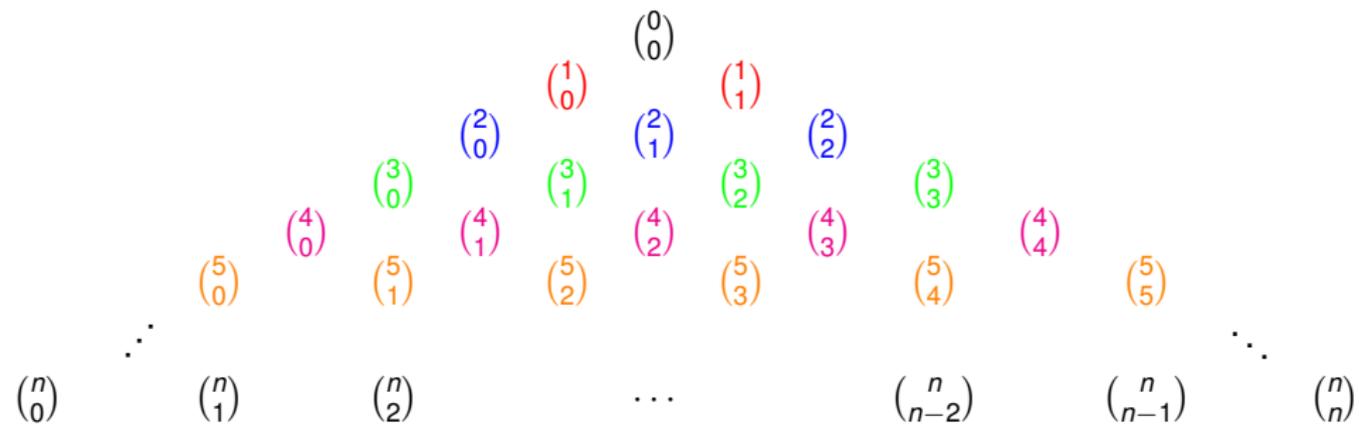
Subsets of S with 2 elements:

$$\begin{aligned} & \left\{ \text{blue square}, \text{green circle} \right\} \left\{ \text{blue square}, \text{red heart} \right\} \left\{ \text{blue square}, \text{yellow star} \right\} \\ & \left\{ \text{green circle}, \text{red heart} \right\} \left\{ \text{green circle}, \text{yellow star} \right\} \left\{ \text{red heart}, \text{yellow star} \right\} \end{aligned}$$

$$\binom{4}{2} = \frac{4!}{2!2!} = \frac{24}{2 \cdot 2} = 6 = \# \text{ of subsets of } S \text{ with 2 elements } \checkmark$$

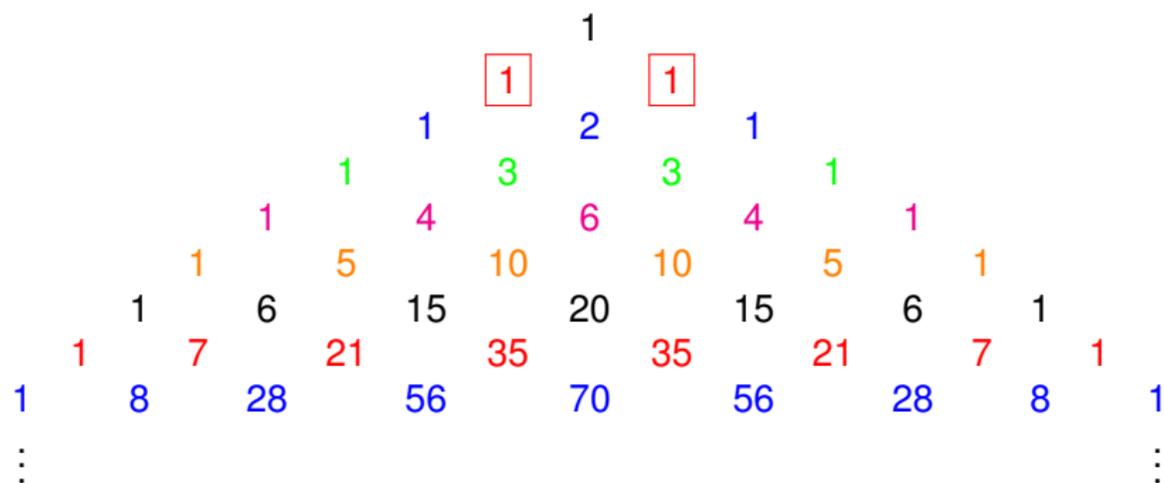
Pascal's Triangle

Pascal's Triangle



Our First Identity

Pascal's Triangle: Sums of Adjacent Terms



$$\binom{n-1}{k-1} + \binom{n-1}{k} = \binom{n}{k}$$

Consider the set $S = \{1, 2, 3, 4, \dots, n\}$

Plan: Count the # of subsets of S with k elements in two different ways.

1st way to count the # of subsets of S with k elements:

$$\text{Total \# of } k\text{-element} \\ \text{subsets of } S = \binom{n}{k}$$

Combinatorial Proof

2nd way to count the # of subsets of S with k elements:

Observation: Each subset of S either contains the element n or it doesn't.

$$\text{Total \# of } k\text{-element subsets of } S = \text{\# of } k\text{-element subsets of } S \text{ that contain } n + \text{\# of } k\text{-element subsets of } S \text{ that don't contain } n$$

Combinatorial Proof

To form a k -element subset that contains n :

- Put n into the subset. There's only 1 way to do this.
- Choose $k - 1$ other elements from $\{1, 2, 3, \dots, n - 1\}$
There are $\binom{n-1}{k-1}$ ways to do this.

\implies There are $\binom{n-1}{k-1}$ k -element subsets that contain n .

To form a k -element subset that *doesn't* contain n :

- Choose k elements from $\{1, 2, 3, \dots, n - 1\}$
There are $\binom{n-1}{k}$ ways to do this.

\implies There are $\binom{n-1}{k}$ k -element subsets that *don't* contain n .

Combinatorial Proof

Total # of k -element subsets of S = # of k -element subsets of S that contain n + # of k -element subsets of S that don't contain n

$$\text{Total \# of } k\text{-element subsets of } S = \binom{n-1}{k-1} + \binom{n-1}{k}$$

In Summary:

1st way: Total # of k -element subsets of S = $\binom{n}{k}$

2nd way: Total # of k -element subsets of S = $\binom{n-1}{k-1} + \binom{n-1}{k}$

$$\Rightarrow \boxed{\binom{n-1}{k-1} + \binom{n-1}{k} = \binom{n}{k}}$$



Our Second Identity

Another Identity

$$\binom{n}{0} + \binom{n}{1} + \binom{n}{2} + \cdots + \binom{n}{n-2} + \binom{n}{n-1} + \binom{n}{n} = 2^n$$

Combinatorial Proof:

Again, consider the set $S = \{1, 2, 3, \dots, n\}$.

Plan: Count the total number of subsets of S in two different ways.

Combinatorial Proof:

1st way of counting the subsets of S :

$$\begin{array}{ccccccccc} \# \text{ of} & & \# \text{ of} \\ \text{subsets} & + & \text{subsets} & + & \text{subsets} & + \cdots + & \text{subsets} & + & \text{subsets} \\ \text{w/ no} & & \text{w/ 1} & & \text{w/ 2} & & \text{w/ n-1} & & \text{w/ n} \\ \text{elements} & & \text{element} & & \text{elements} & & \text{elements} & & \text{elements} \\ \\ \binom{n}{0} & + & \binom{n}{1} & + & \binom{n}{2} & + \cdots + & \binom{n}{n-1} & + & \binom{n}{n} \end{array}$$

Combinatorial Proof:

2nd way of counting the subsets of S :

When building a subset of S , there are two choices for each element: either it's in the subset or it's not.

\implies There are $2 \cdot 2 \cdot 2 \cdots 2 = 2^n$ ways to build a subset.

\implies There are 2^n subsets of S .

In Summary:

1st Way:

$$\begin{array}{l} \text{Total \# of} \\ \text{subsets of } S \end{array} = \binom{n}{0} + \binom{n}{1} + \binom{n}{2} + \cdots + \binom{n}{n-1} + \binom{n}{n}$$

2nd Way:

$$\begin{array}{l} \text{Total \# of} \\ \text{subsets of } S \end{array} = 2^n$$

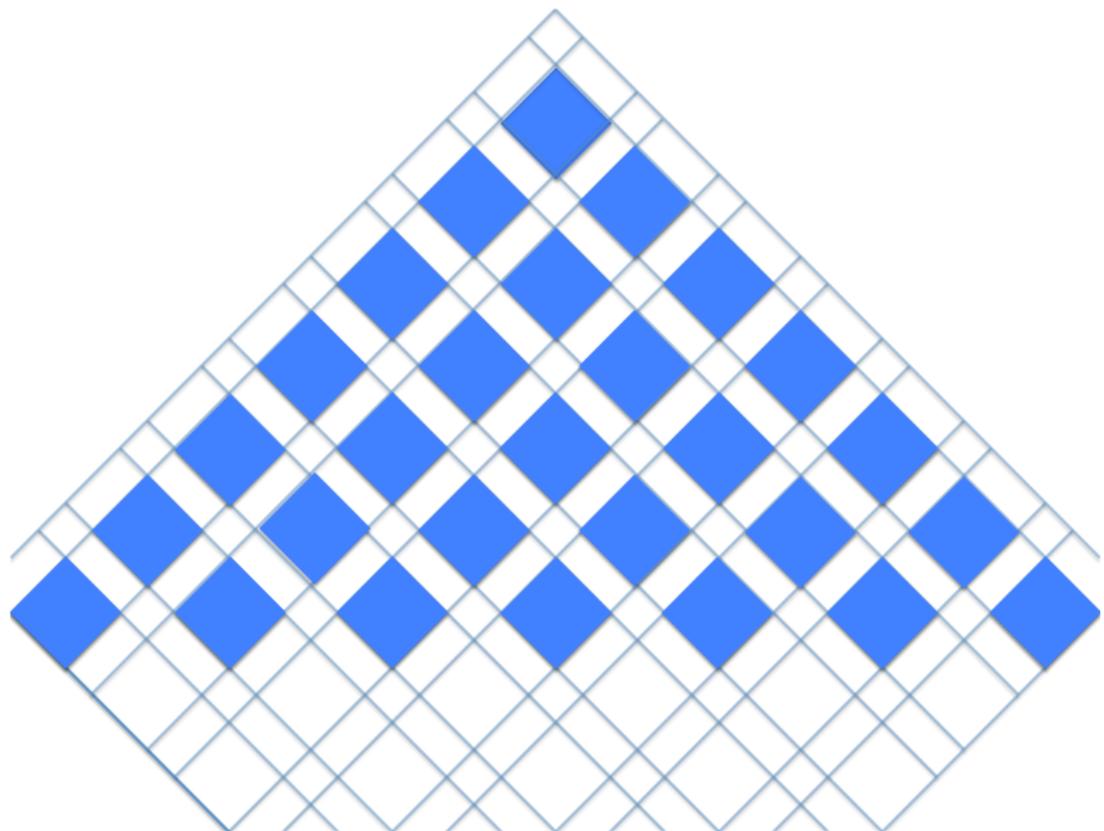
$$\therefore \boxed{\binom{n}{0} + \binom{n}{1} + \binom{n}{2} + \cdots + \binom{n}{n-1} + \binom{n}{n} = 2^n}$$



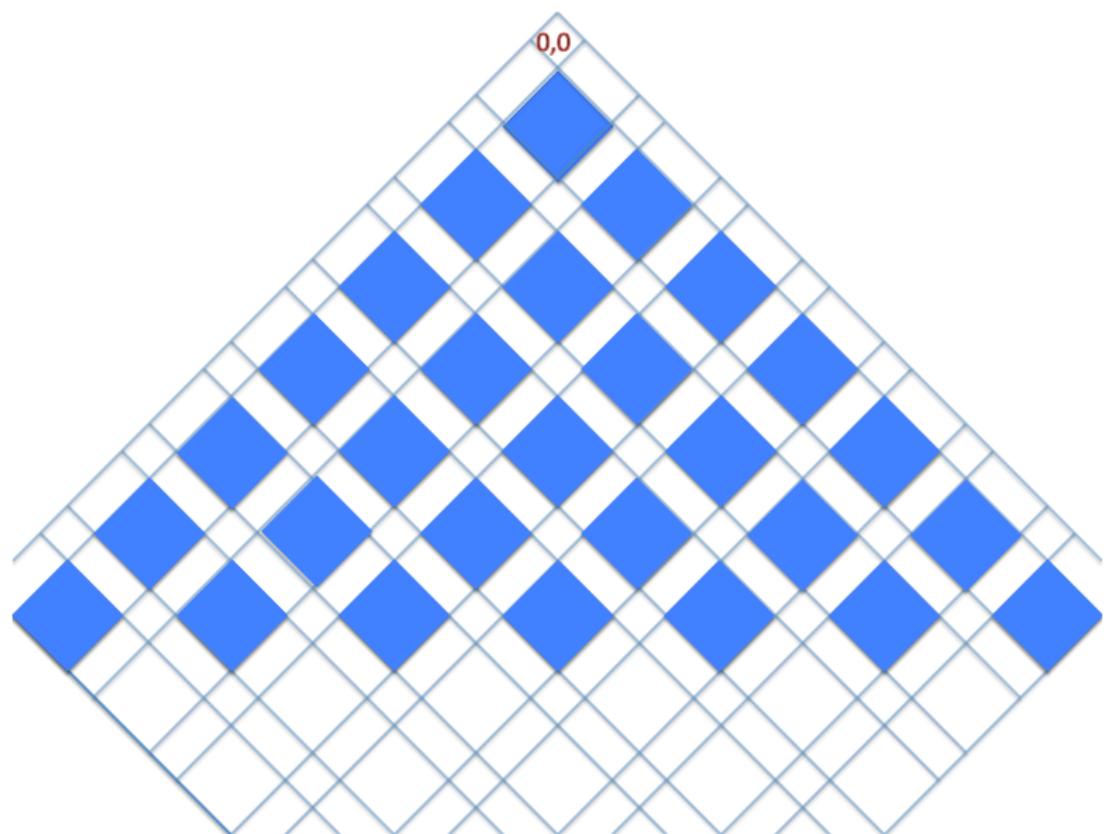
Block Walking

An interpretation of the binomial coefficients

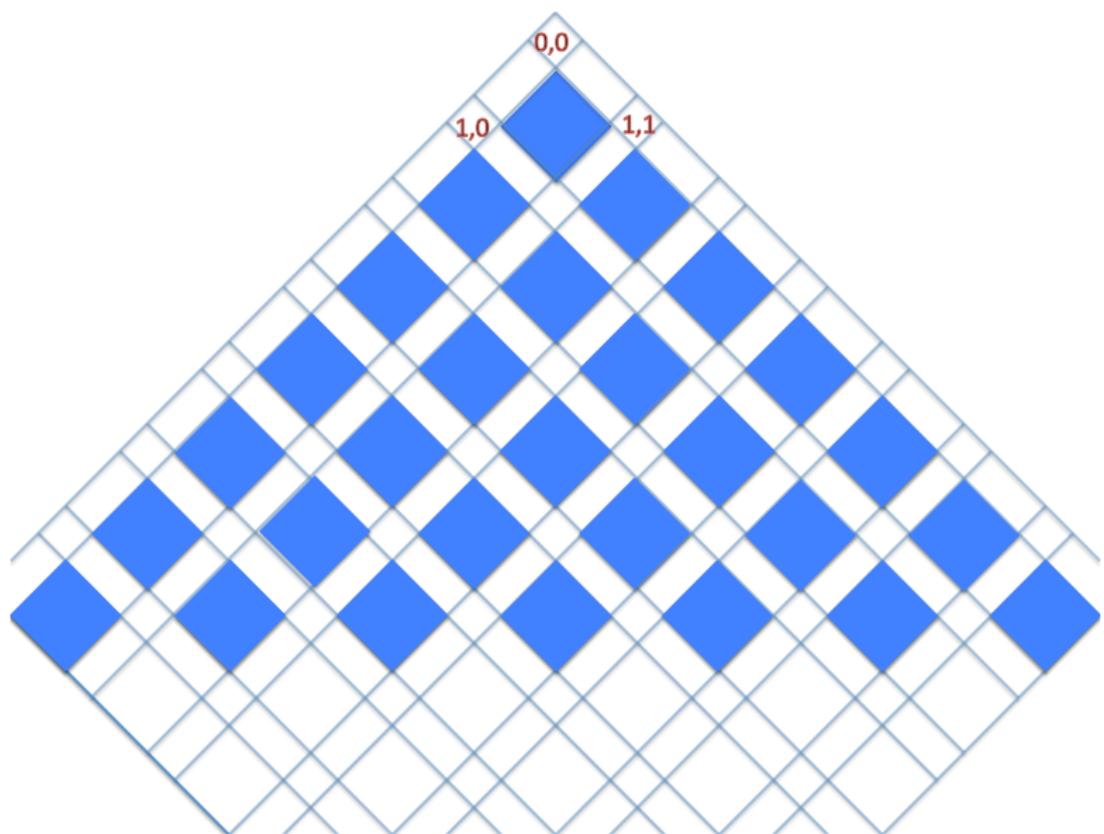
Block walking: The grid of blocks



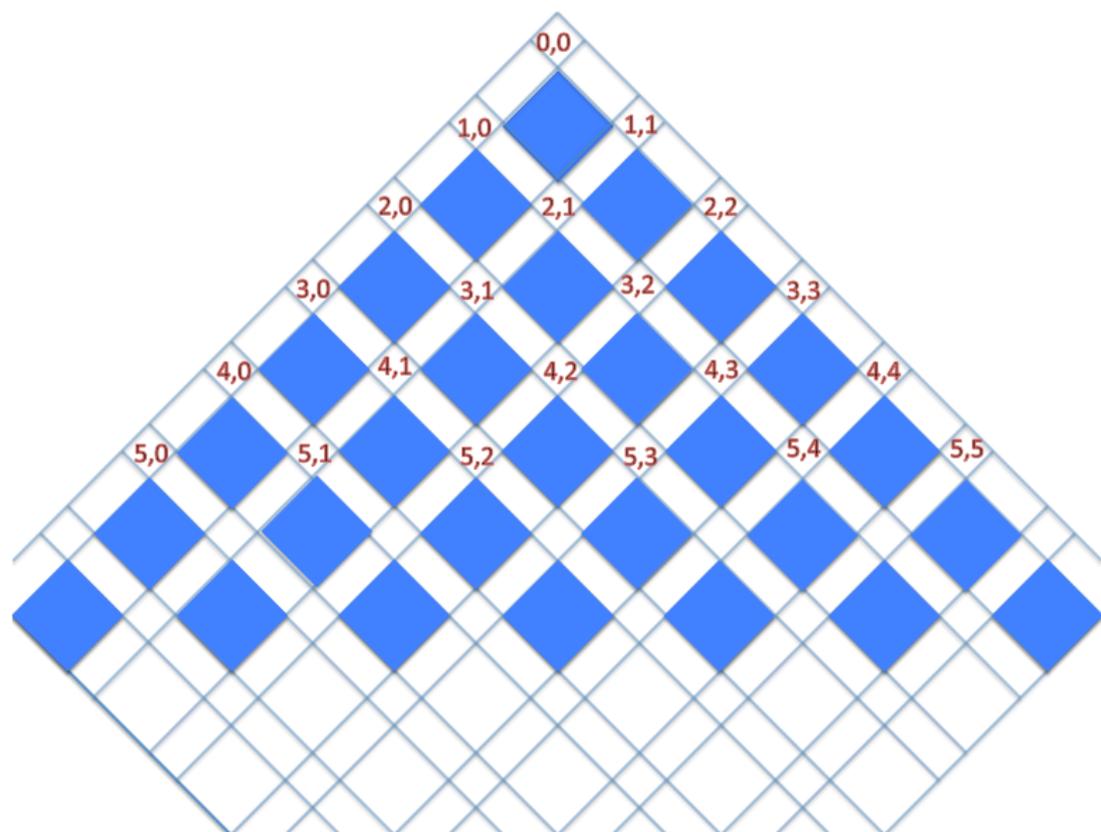
Block walking: Coordinates



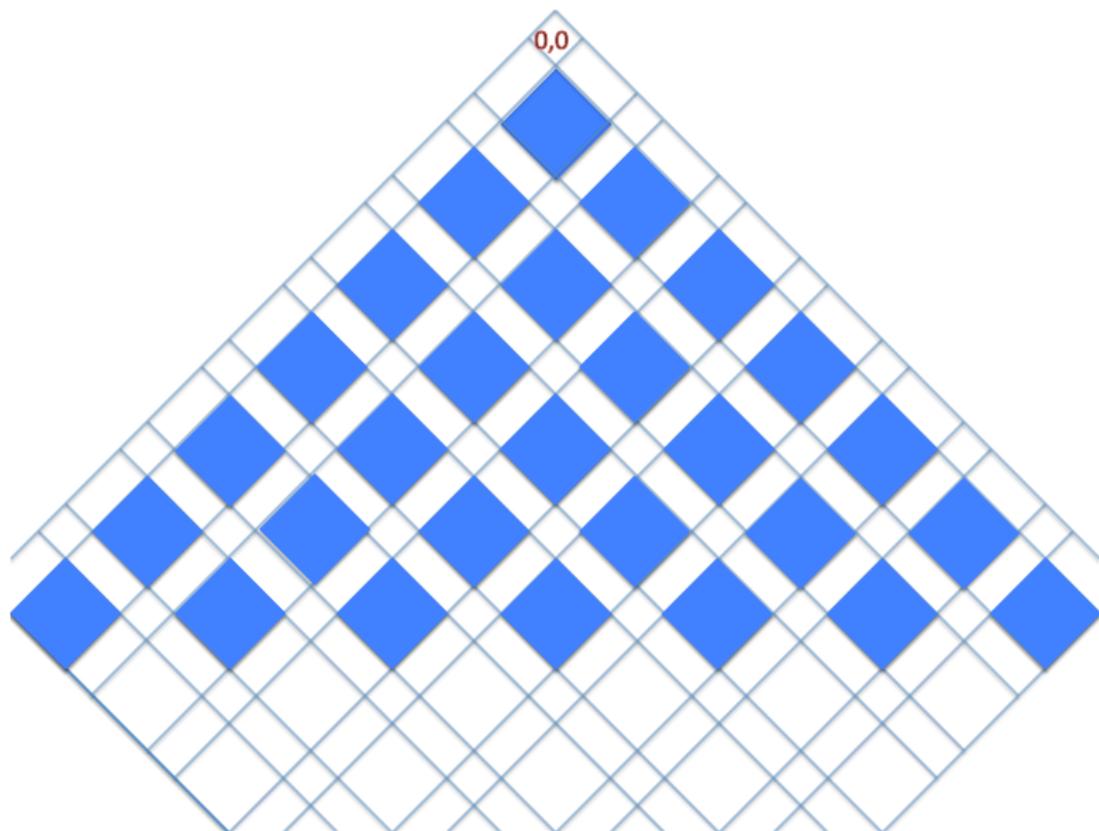
Block walking: Coordinates



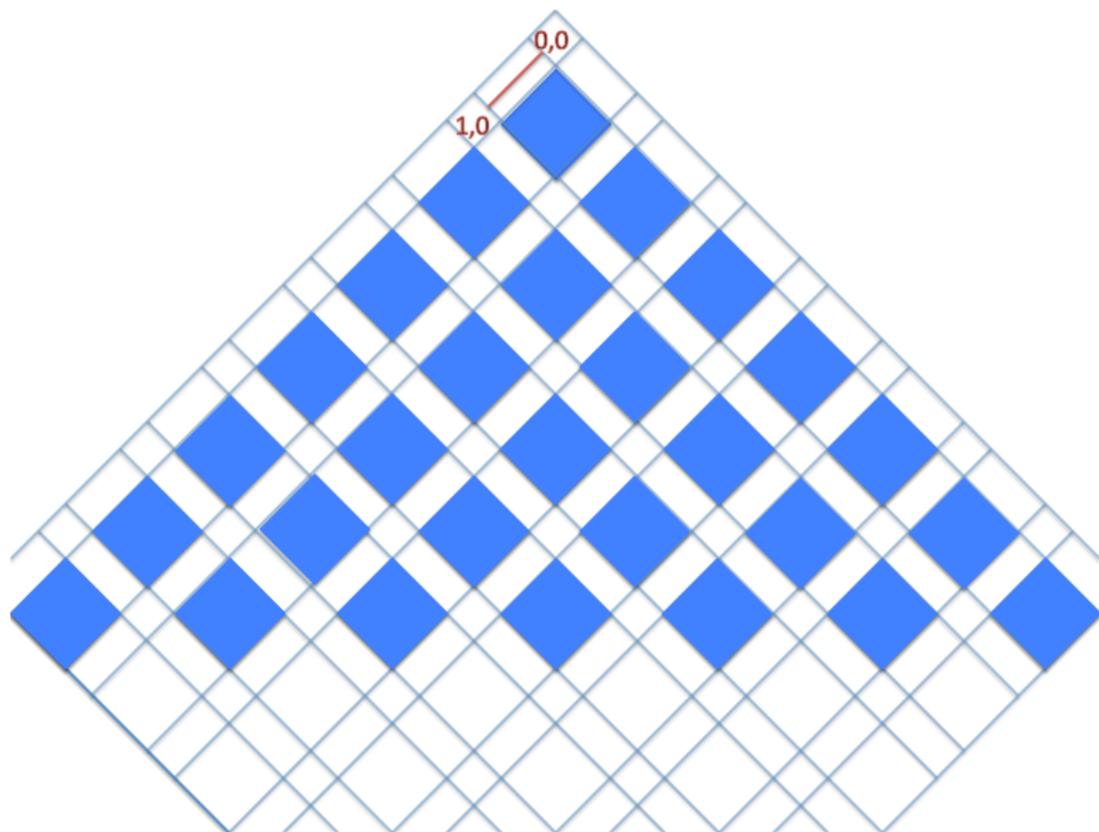
Block walking: Coordinates



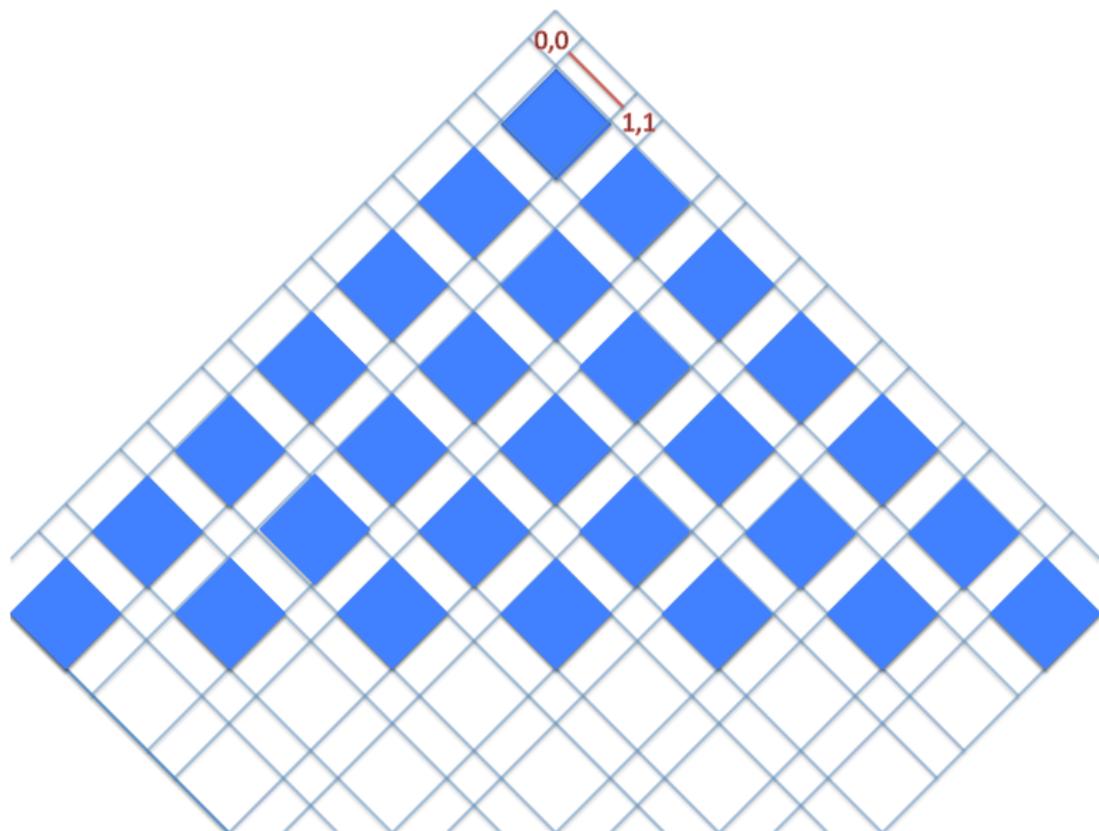
Block walking: The number of walks from $0,0$ to $0,0$



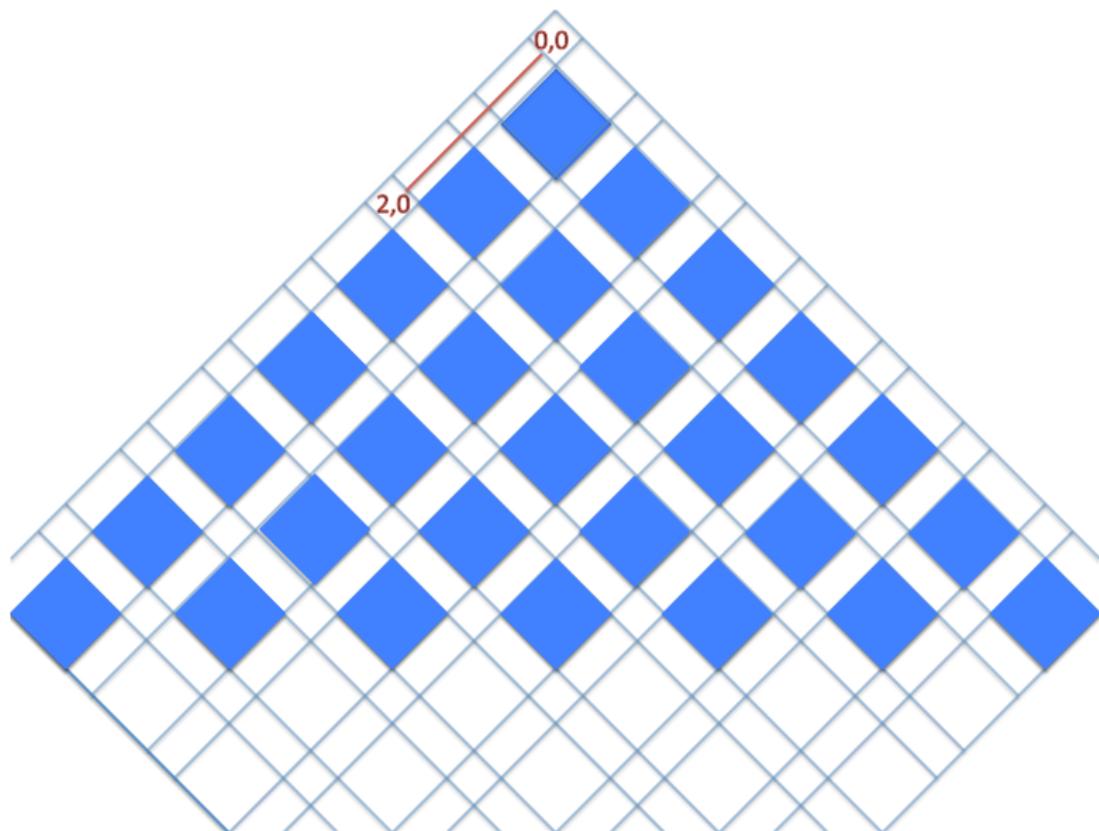
Block walking: The number of walks from $0,0$ to $1,0$



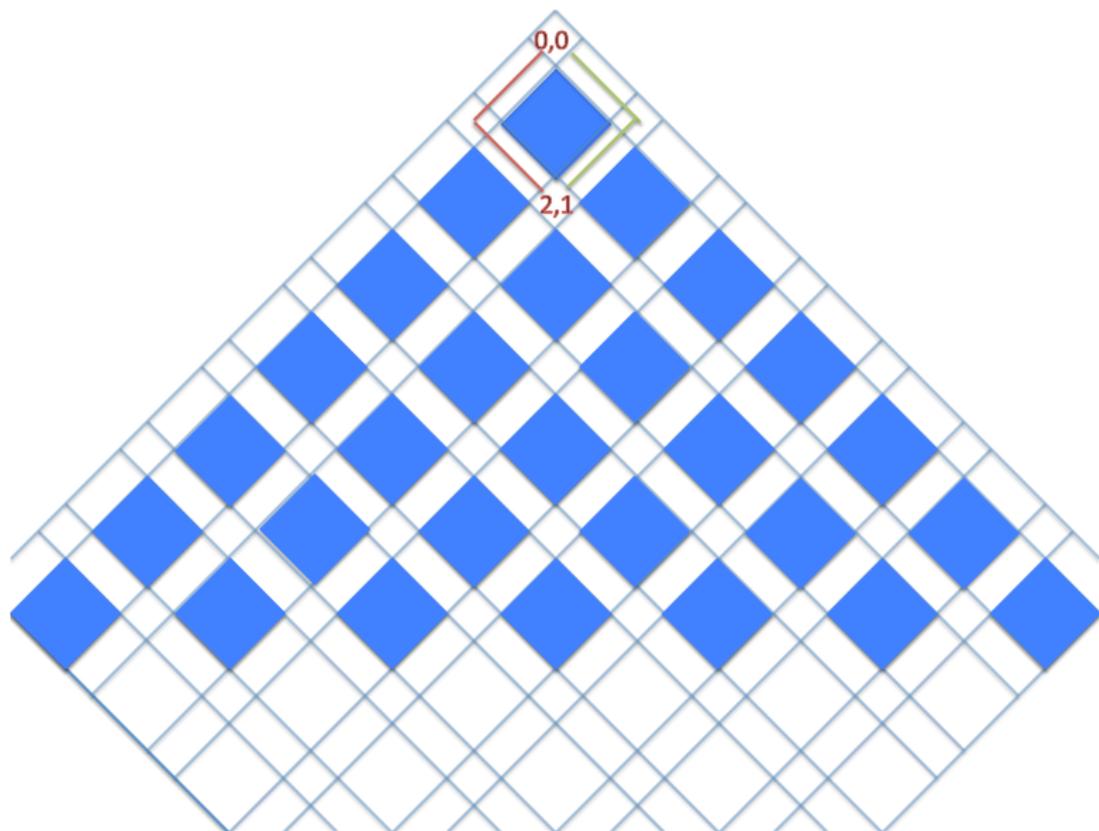
Block walking: The number of walks from $0,0$ to $1,1$



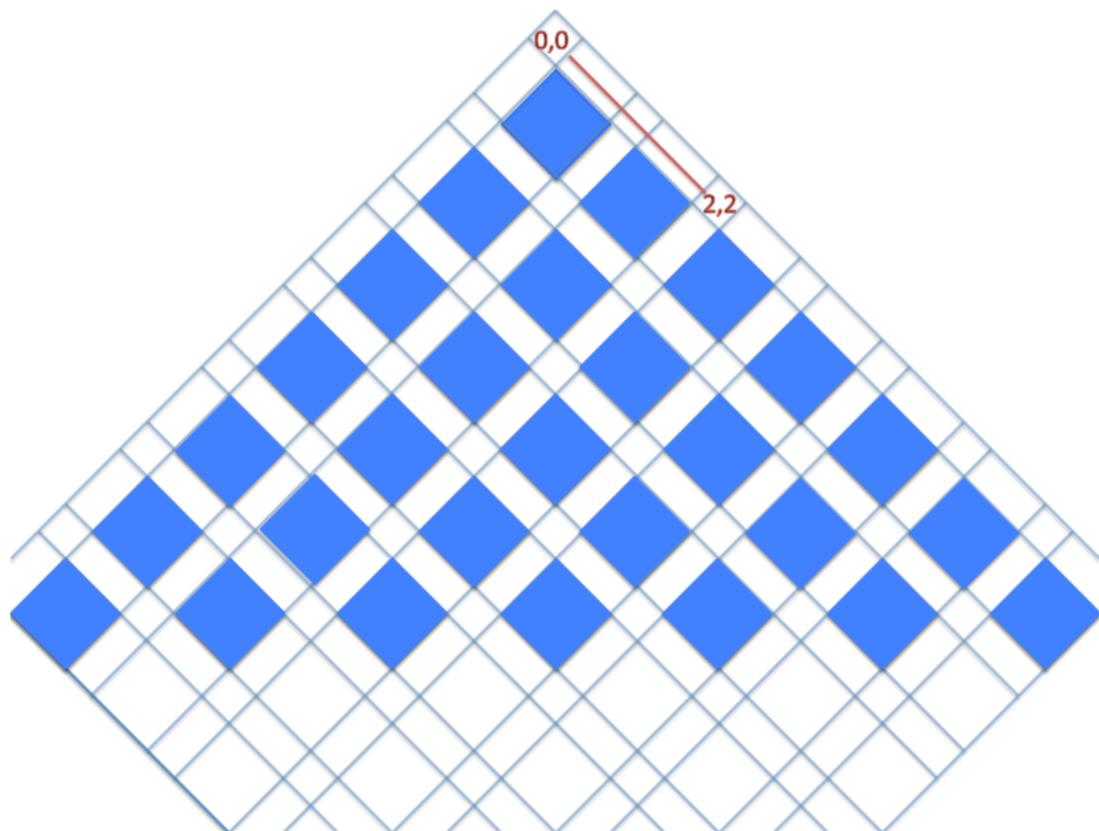
Block walking: The number of walks from $0,0$ to $2,0$



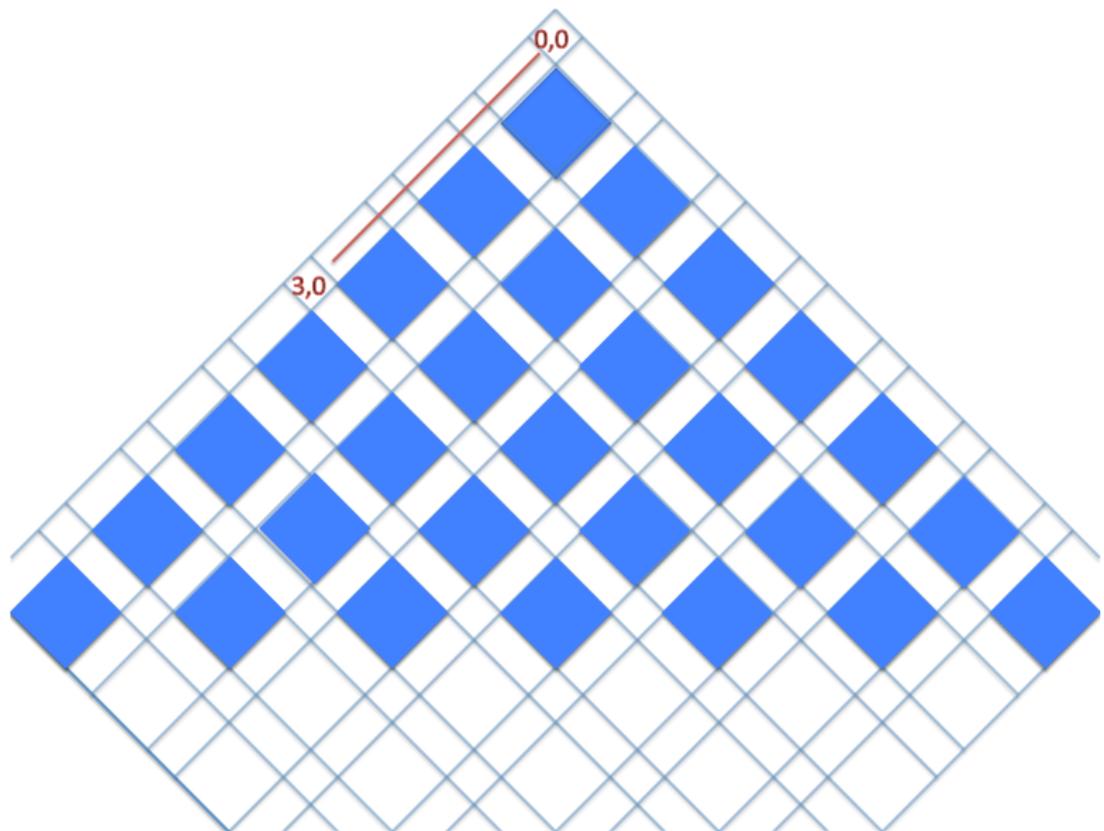
Block walking: The number of walks from $0,0$ to $2,1$



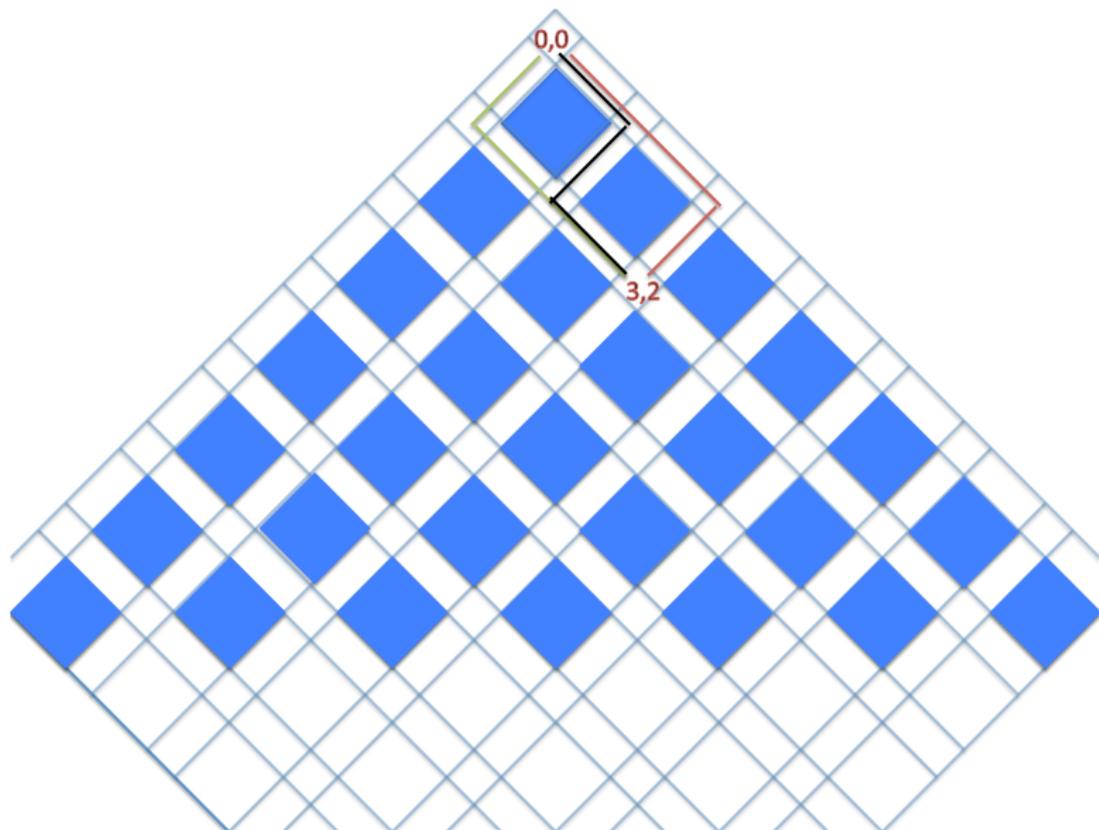
Block walking: The number of walks from $0,0$ to $2,2$



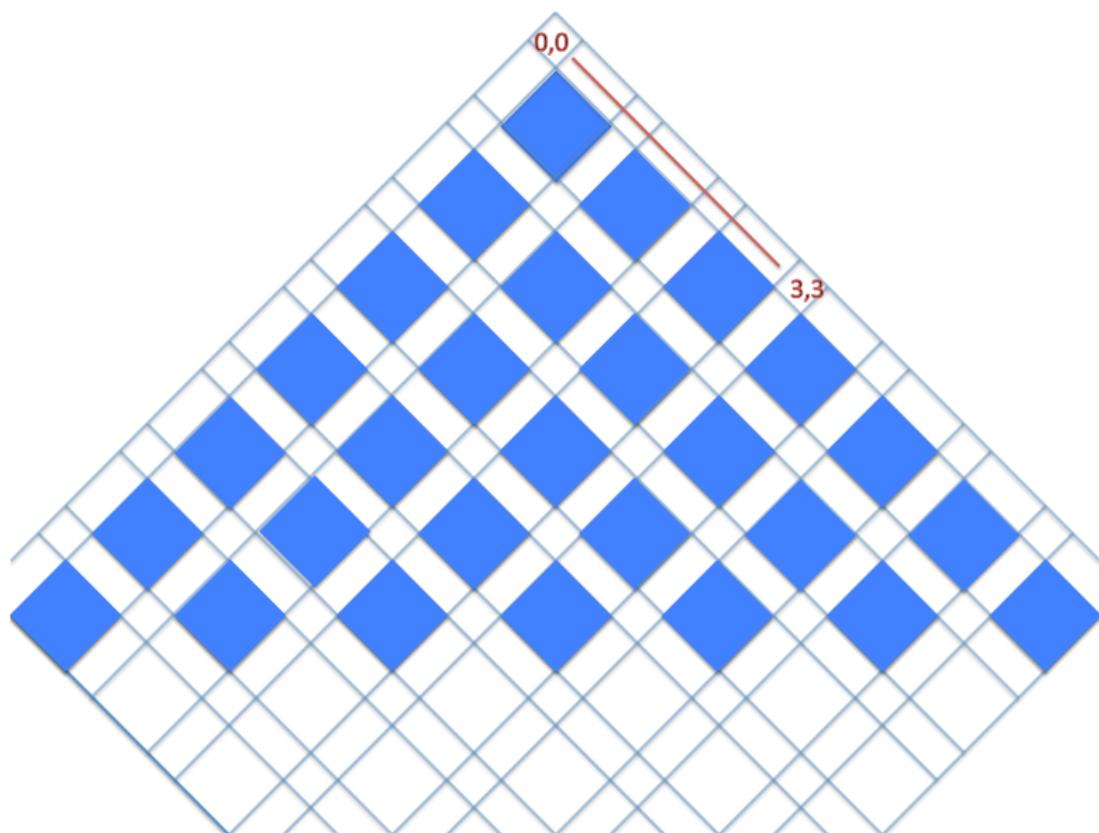
Block walking: The number of walks from $0,0$ to $3,0$



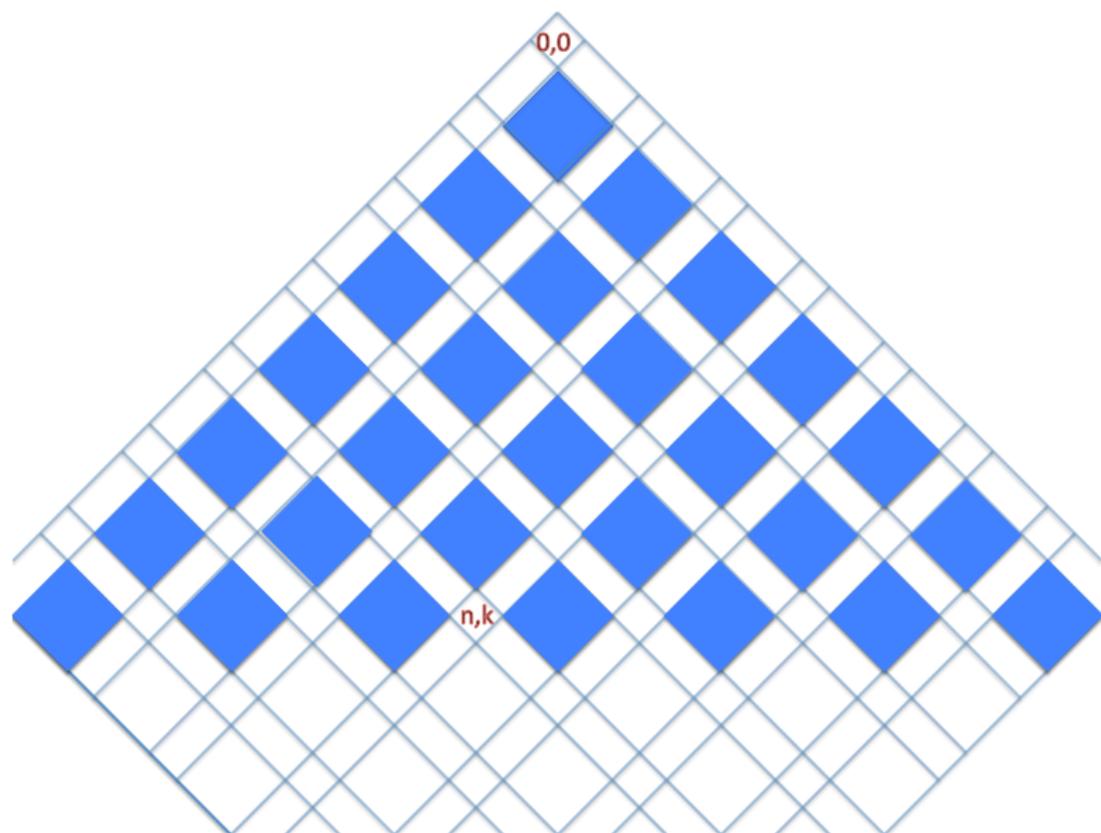
Block walking: The number of walks from $0,0$ to $3,2$



Block walking: The number of walks from $0,0$ to $3,3$



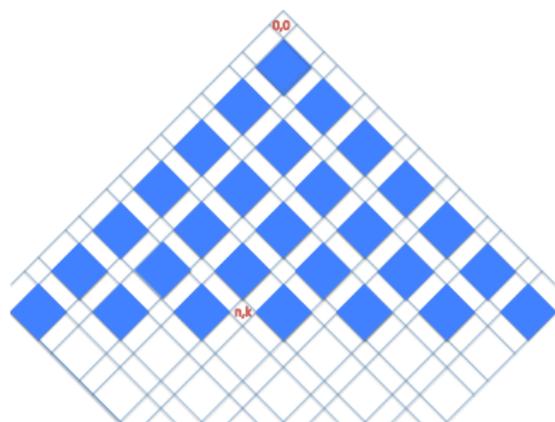
Block walking: The number of walks from $0,0$ to n,k



Block walking: The number of walks from $0,0$ to n,k

The # of block walks from $0,0$ to n,k is $\binom{n}{k}$

Combinatorial Proof:



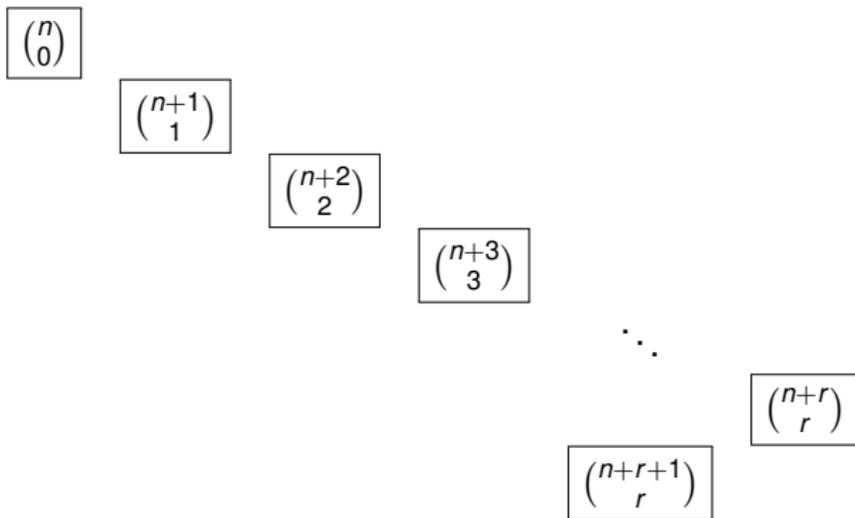
To walk from 0,0 to n,k:

- You need to travel n total blocks to get to the n^{th} row.
- k of these n blocks must be to the right to end up in the k^{th} position in the row.

of block walks from 0,0 to n,k: $\binom{n}{k}$

Our 3rd Identity

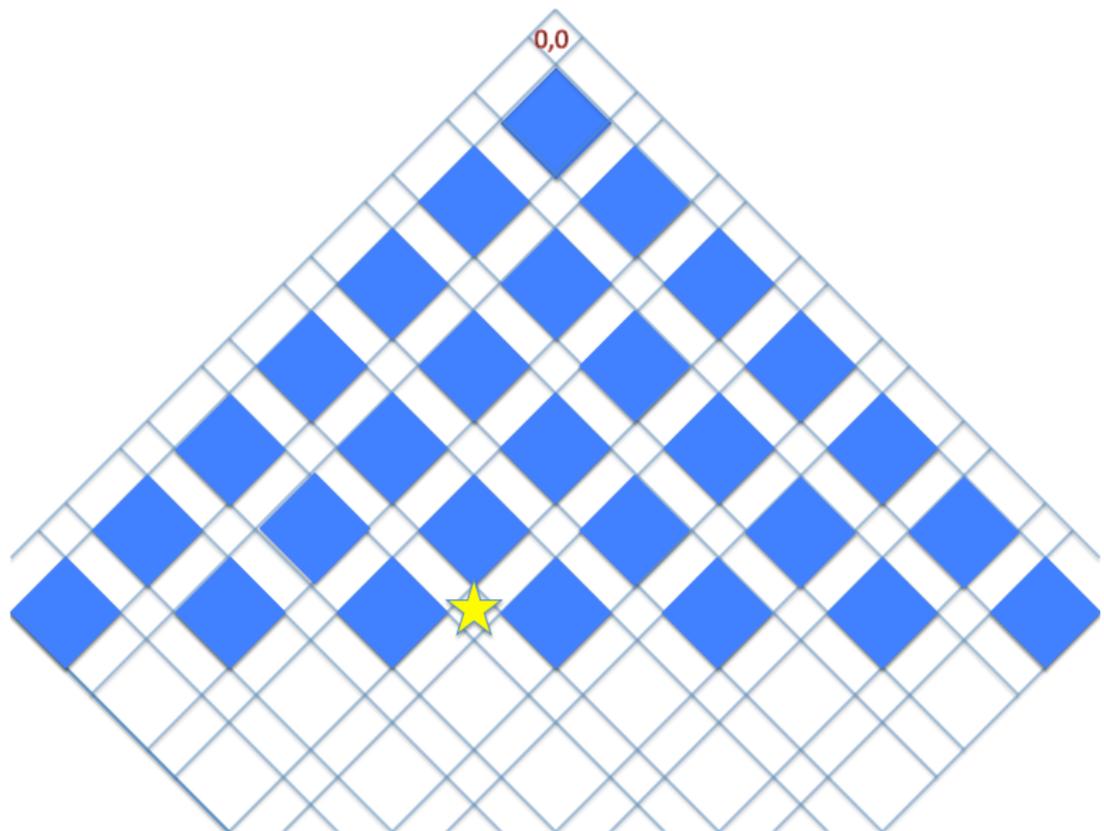
Pascal's Triangle: Sums of Diagonals



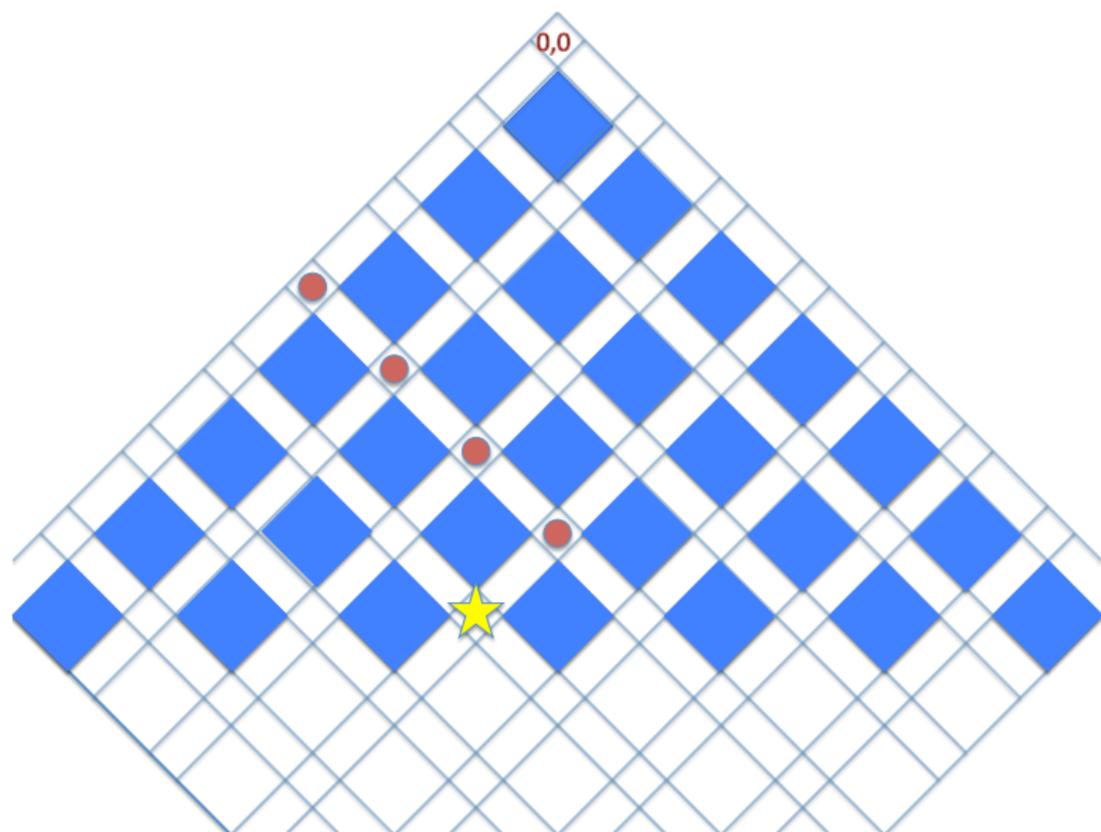
Identity

$$\binom{n}{0} + \binom{n+1}{1} + \binom{n+2}{2} + \binom{n+3}{3} + \cdots + \binom{n+r}{r} = \binom{n+r+1}{r}$$

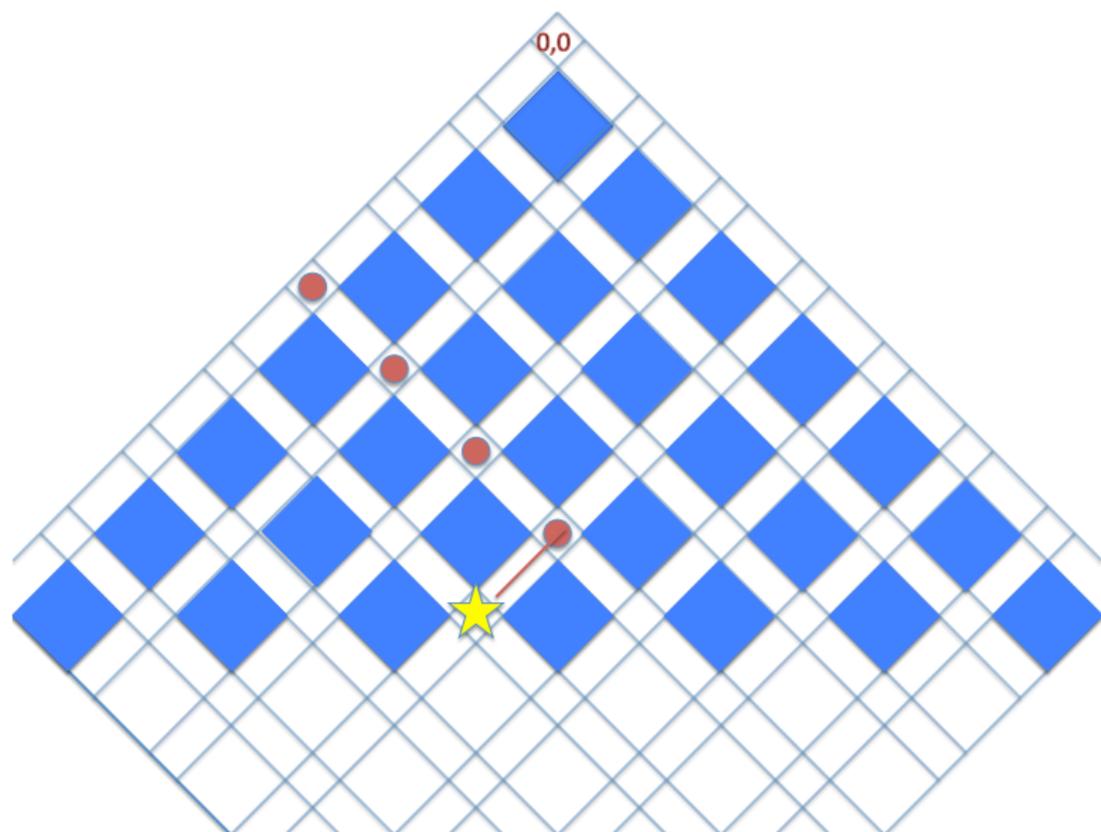
Combinatorial Proof: Block Walks!



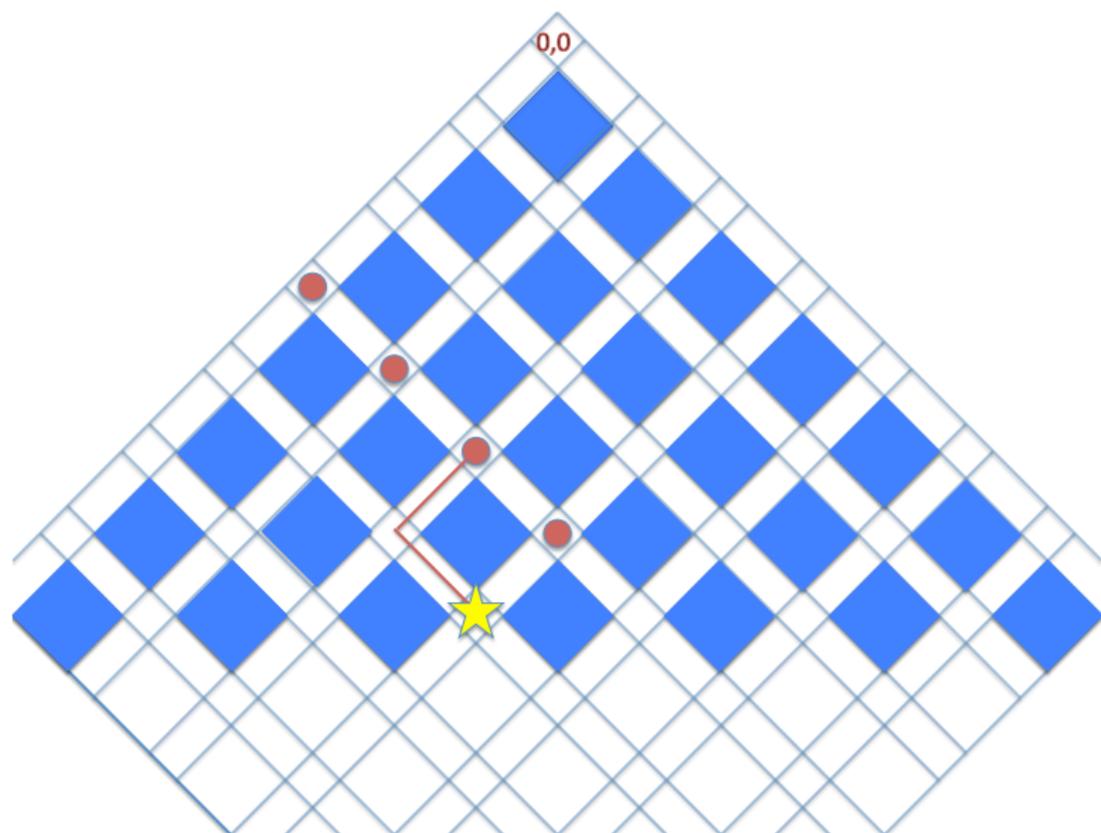
Find the last possible left turns:



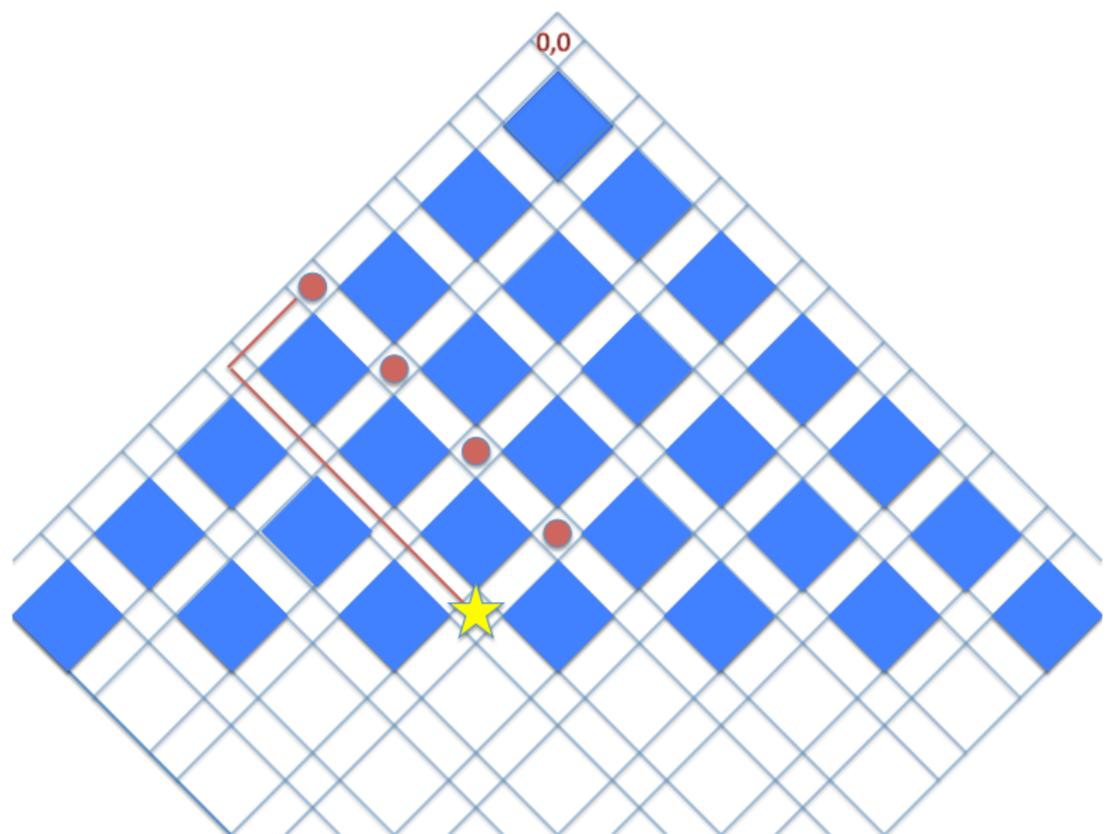
Find the last possible left turns:



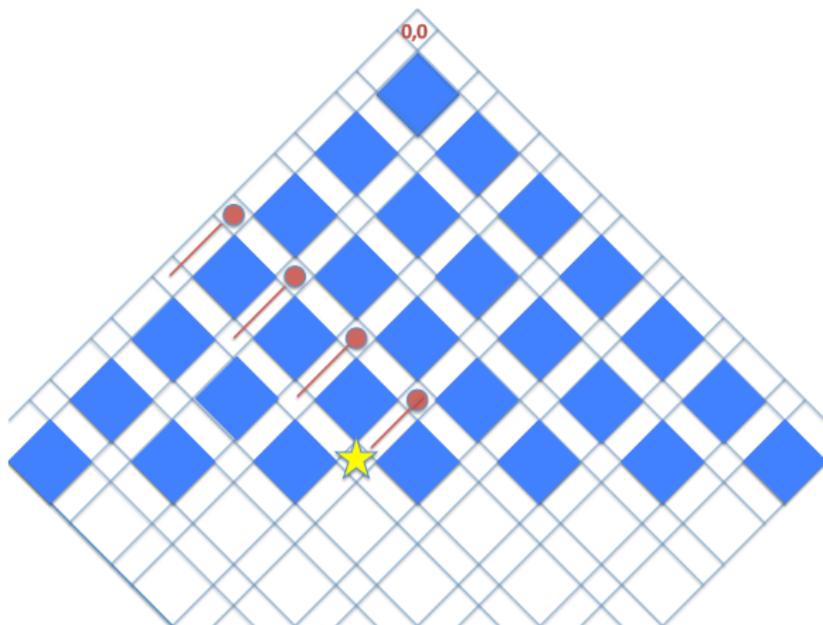
Find the last possible left turns:



Find the last possible left turns:



Key Observation:



From each of the last left turns, there's only one way to get to ★.

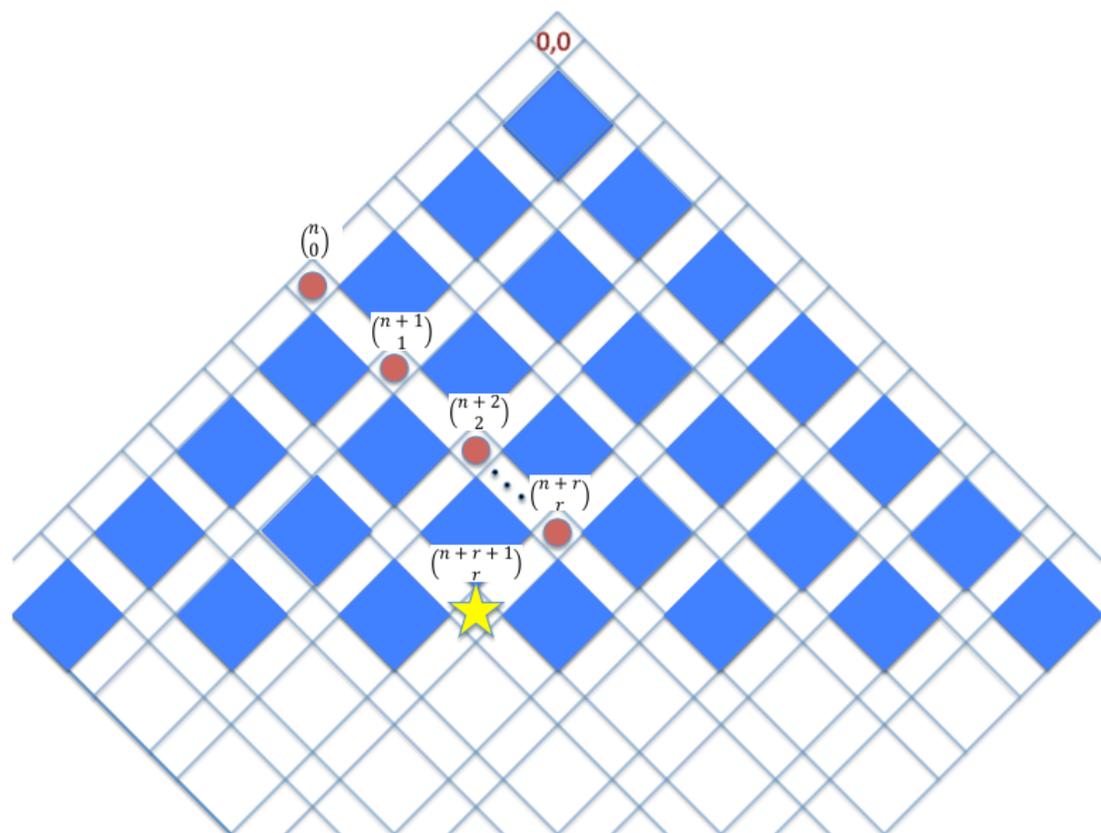
Counting the Block Walks

of block walks to ★ = # of block walks whose last left turn is at the 1st circle
+ # of block walks whose last left turn is at the 2nd circle
⋮
+ # of block walks whose last left turn is at the last circle

of block walks to ★ = # of block walks from 0,0 to the 1st circle
+ # of block walks from 0,0 to the 2nd circle
⋮
+ # of block walks from 0,0 to the last circle

Using the Interpretation of the Binomial Coefficients:

For some n :



Counting the Block Walks:

of block walks to★ = # of block walks from 0,0 to the 1st circle
+ # of block walks from 0,0 to the 2nd circle
⋮
+ # of block walks from 0,0 to the last circle

$$\binom{n+r+1}{r} = \binom{n}{0} \\ + \binom{n+1}{1} \\ \vdots \\ + \binom{n+r}{r}$$



Other Diagonals

Triangular Numbers

Idea: Form equilateral triangles using equally spaced dots stacked on top of each other.

T_n = the number of dots in such a triangle with side length of n .



$$T_1 = 1$$



$$T_2 = 3$$



$$T_3 = 6$$



$$T_4 = 10$$



$$T_5 = 15$$

Tetrahedral Numbers

Idea: Form regular tetrahedrons using equally spaced balls stacked on top of each other.

H_n = the number of balls in such a tetrahedron with side length of n .

1: 1 ball $\implies H_1 = 1$

2: 3 balls in the base
1 ball on top $\implies H_2 = 4$

3: 6 balls in the base
3 balls in the middle layer
1 ball on top $\implies H_3 = 10$

4: 10 balls in the base
6 balls in the 2nd layer
3 in the 3rd layer
1 ball on top $\implies T_4 = 20$

Counting Compositions

Counting Compositions

Let n be a positive integer.

A *composition* of n : An ordered sum of positive integers that add up to n .

Example: There are eight compositions of 4:

$$1 + 1 + 1 + 1 = 4$$

$$2 + 2 = 4$$

$$1 + 1 + 2 = 4$$

$$1 + 3 = 4$$

$$1 + 2 + 1 = 4$$

$$3 + 1 = 4$$

$$2 + 1 + 1 = 4$$

$$4 = 4$$

The Eight Compositions of 4:

- # of Compositions w/ Four Terms: 1
 - $1+1+1+1=4$

- # of Compositions w/ Three Terms: 3
 - $1+1+2=4$
 - $1+2+1=4$
 - $2+1+1=4$

- # of Compositions w/ Two Terms: 3
 - $2+2=4$
 - $1+3=4$
 - $3+1=4$

- # of Compositions w/ One Term: 1
 - $4=4$

The Eight Compositions of 4:

- # of Compositions w/ Four Terms: $1 = \binom{3}{3}$
 - $1+1+1+1=4$

- # of Compositions w/ Three Terms: $3 = \binom{3}{2}$
 - $1+1+2=4$
 - $1+2+1=4$
 - $2+1+1=4$

- # of Compositions w/ Two Terms: $3 = \binom{3}{1}$
 - $2+2=4$
 - $1+3=4$
 - $3+1=4$

- # of Compositions w/ One Term: $1 = \binom{3}{0}$
 - $4=4$

$$\# \text{ of compositions of } n \text{ with } k \text{ terms} = \binom{n-1}{k-1}$$

Combinatorial “Stars & Bars” Proof

Start with the stars:

Place n stars in a row: $\star \star \star \star \star \cdots \star \star \star$

Then add the bars:

Place $k - 1$ bars in the $n - 1$ spaces between the n stars
(w/ at most 1 bar in each space):

$\star \mid \star \star \star \mid \star \cdots \mid \star \mid \star \star$

Key Observation

Counting the # of stars between the bars:

each placement of bars \longleftrightarrow a composition of n

$\star | \star \star \star | \star \cdots | \star | \star \star \longleftrightarrow 1 + 3 + \cdots + 1 + 2 = n$

Key Observation

There's a (bijective) correspondence between the sets:

$$\left\{ \begin{array}{l} \text{Placements of } k - 1 \text{ bars} \\ \text{in the } n - 1 \text{ spaces} \\ \text{between the } n \text{ stars} \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} \text{Compositions} \\ \text{of } n \text{ with} \\ k \text{ terms} \end{array} \right\}$$

Example:

Placements
of 2
bars in the
3 spaces
between the
4 stars

$$\star | \star | \star \star \longleftrightarrow 1 + 1 + 2 = 4$$

$$\star | \star \star | \star \longleftrightarrow 1 + 2 + 1 = 4$$

$$\star \star | \star | \star \longleftrightarrow 2 + 1 + 1 = 4$$

Compositions
of 4 w/
3 terms

A Consequence of the Correspondence

of placements of $k - 1$ bars in the
 $n - 1$ spaces between the n stars
(with at most 1 bar in each space) = **# of** compositions
of n with k terms

Counting the # of Placements

$$\begin{aligned} \# \text{ of placements of } k - 1 \text{ bars in the} \\ n - 1 \text{ spaces between the } n \text{ stars} \\ \text{(with at most 1 bar in each space)} &= \# \text{ of ways to choose} \\ & k - 1 \text{ objects from a} \\ & \text{set of } n - 1 \text{ objects} \\ &= \binom{n - 1}{k - 1} \end{aligned}$$

In Summary:

of compositions of n with k terms = # of placements of $k - 1$ bars in the $n - 1$ spaces between the n stars (with at most 1 bar in each space)

of placements of $k - 1$ bars in the $n - 1$ spaces between the n stars (with at most 1 bar in each space) = $\binom{n - 1}{k - 1}$

$$\Rightarrow \boxed{\text{\# of compositions of } n \text{ with } k \text{ terms} = \binom{n - 1}{k - 1}}$$

